

## TRANSMITTAL LETTER TO THE UNITED STATES

440436

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO.

CONCERNING A FILING UNDER 35 USC 371

09/787944

INTERNATIONAL APPLICATION NO.  
PCT/EP99/06424INTERNATIONAL FILING DATE  
31 August 1999PRIORITY DATE CLAIMED  
25 September 1998

TITLE OF INVENTION

Filtration Systems and Methods

APPLICANT(S) FOR DO/EO/US

John SLEGERS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
- a. ☐ is attached hereto (required only if not communicated by the International Bureau).
- b. ☒ has been communicated by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 USC 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
- a. ☐ are attached hereto (required only if not communicated by the International Bureau).
- b. ☐ have been communicated by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
11. Nucleotide and/or Amino Acid Sequence Submission
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper Copy
- c. ☐ Statement verifying identity of above copies
- Items 12 to 19 below concern other document(s) or information included:
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ Form PTO-1449
- ☐ Copies of Listed Documents
13. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Application Data Sheet Under 37 CFR 1.76
18. ☒ Return Receipt Postcard
19. ☒ Other items or information: International Publication No. WO 00/18497, including International Search Report; Form PCT/IPEA/409

U.S. APPLICATION NO. <b>09/787944</b>		INTERNATIONAL APPLICATION NO. PCT/EP99/06424		ATTORNEY DOCKET NO. 440436			
20. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$ 860.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4) ..... \$ 100.00				CALCULATIONS		PTO USE ONLY	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT=</b>				\$860.00			
Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date				\$			
CLAIMS		NUMBER FILED		NUMBER EXTRA		RATE	
Total Claims		35		-20=		15 x \$ 18.00	
Independent Claims		8		- 3 =		5 x \$ 80.00	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+\$270.00		\$	
<b>TOTAL OF ABOVE CALCULATIONS=</b>				\$1530.00			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$			
<b>SUBTOTAL=</b>				\$1530.00			
Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.				\$			
<b>TOTAL NATIONAL FEE=</b>				\$1530.00			
Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property				+		\$	
<b>TOTAL FEE ENCLOSED=</b>				\$1530.00			
				Amount to be: refunded		\$	
				charged:		\$	


a. ☒ A check in the amount of \$1530.00 to cover the above fee is enclosed.

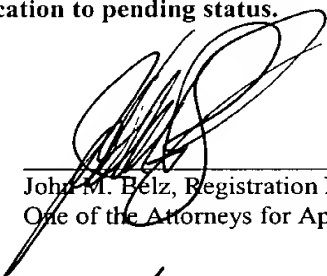
b. ☐ Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

  
**23548**  
PATENT TRADEMARK OFFICE

  
John M. Belz, Registration No. 30,359  
One of the Attorneys for Applicant(s)  
23 Mar 2001  
Date